MBA WOMEN IN INVESTING (WIN) CONFERENCE

NOVEMBER 15-16, 2018 • LANGHAM HOTEL, BOSTON
Dear All,

Welcome to the 9th Annual Women in Investing Conference, organized by Cornell University’s Parker Center for Investment Research. The conference was founded with the dual objective of educating women in MBA programs about career opportunities in investment management and facilitating their recruiting opportunities through mentorship and networking. This year, we are delighted to welcome student participants from 12 top MBA programs, and representatives from 19 major investment management firms that are sponsoring the event. Participants can look forward to mingling with peers, networking with firm representatives, showcasing their stock pitching skills, and learning from investment professionals.

Students are further given a valuable opportunity to interview with the sponsoring firms on the first day of the conference.

This event would not be possible without the generous support and involvement of our sponsors. We are truly grateful for their time and support!

Sincerely,

Lakshmi Bhojraj
Founder, Women in Investing Conference
Breazzano Family Executive Director,
Parker Center for Investment Research

Our Sponsors

Platinum Sponsors

Executive Sponsors

WIN 2018 Student Leader
Nicole Phang, MBA ’19

Follow the conversation on Twitter, post your images to Instagram or Facebook, watch the Seen feed at bit.ly/CornellWin18

#CornellWIN
THURSDAY, NOVEMBER 15

9:00 a.m. – 1:00 p.m. — Sponsor Interviews of Participating Students (225 Franklin Street, 26th Floor)

2:15 p.m. – 3:25 p.m. — WIN Round Robin Speed Networking Session 1 — WILSON BALLROOM

Table 1: Fidelity Investments
Table 2: Ivy Investments
Table 3: Fidelity International
Table 4: Invesco
Table 5: Causeway Capital

Rotations will occur every 12 minutes with 2 minutes transition starting at 2:15 p.m., 2:29 p.m., 2:43 p.m., 2:57 p.m., 3:11 p.m.

3:30 p.m. – 3:45 p.m. — BREAK

3:50 p.m. – 5:00 p.m. — WIN Round Robin Speed Networking Session 2 — WILSON BALLROOM

Table 6: American Century
Table 7: T. Rowe Price
Table 8: Maverick Capital
Table 9: Amundi Pioneer

Rotations will occur every 12 minutes with 2 minutes transition starting at 3:50 p.m., 4:04 p.m., 4:18 p.m., 4:32 p.m.

5:15 p.m. – 6:30 p.m. — Networking Reception — ESPLANADE ROOM

Welcoming Remarks: Lakshmi Bhajan, ’95, MBA ’01, Breazzano Family Executive Director, Parker Center, Johnson
Opening Remarks: Dave Breazzano, MBA ’80, President and Chief Investment Officer, DDJ Capital Management, LLC, Johnson Advisory Council

6:30 p.m. – 8:30 p.m. — Fidelity Keynote Dinner — WILSON BALLROOM

Fidelity Investments Keynote Speaker: Pam Holding, Co-Head, Equity Division
Sponsored by Fidelity Investments and Fidelity International (FIL)

FRIDAY, NOVEMBER 16

7:00 a.m. – 8:00 a.m. — Ivy Investments Keynote Breakfast — WILSON BALLROOM

Ivy Investments Breakfast Panel: Women Win on the Buy Side
Panelists:
Elizabeth Jones, Global Equity Analyst, Assistant Vice President, Ivy Investments
Sarah Ross, Portfolio Manager, Senior Vice President, Ivy Investments
Gil Scott, Director of Research, Senior Vice President, Ivy Investments
Kim Scott, Portfolio Manager, Senior Vice President, Ivy Investments

Moderator: Keri Westland

STOCK PITCH SHOWCASE

8:15 a.m. – 9:30 a.m. — CHASE ROOM

Track 1

Team 1: UCLA Anderson 8:15 a.m. – 8:30 a.m.
Team 2: Columbia 8:32 a.m. – 8:47 a.m.
Team 3: Cornell Johnson 8:49 a.m. – 9:04 a.m.
Team 4: UPenn Wharton 9:06 a.m. – 9:21 a.m.
Team 5: Columbia Booth 9:23 a.m. – 9:38 a.m.

Judges for Track 1

Kathryn Murphy, Equity Investment Analyst, Capital Group
Melissa Reilly, CIO, Equity Division, Fidelity Investments
Kim Scott, Portfolio Manager, Senior Vice President, Ivy Investments
Walter Scully, Portfolio Manager, Analyst, Putnam
Michael Temple, Managing Director, Director of Corporate Credit Research, Amundi Pioneer
Jackie Wagner, Portfolio Manager, American Century

Track 2

Team 6: MIT Sloan 8:15 a.m. – 8:30 a.m.
Team 7: NYU Stern 8:32 a.m. – 8:47 a.m.
Team 8: Yale 8:49 a.m. – 9:04 a.m.
Team 9: LBS 9:06 a.m. – 9:21 a.m.
Team 10: CMU Tepper 9:23 a.m. – 9:38 a.m.

Judges for Track 2

Barbara Burton, Equity Investment Analyst, Capital Group
Anna Dopkin, Vice President, T. Rowe Price
Chuck Harris, Director of Research and Senior Research Analyst, ClearBridge
Lucas Klein, Director of Research, Fidelity International (FIL)
Iva Krasteva, CFA, Director, Nuveen

Rich Thompson, Managing Director of Research, Equity Division, Fidelity Investments

9:40 a.m. – 10:00 a.m. — Judges’ deliberations and announcement of top two winners in each room.

10:05 a.m. – 10:50 a.m.

Session 1A — CHASE ROOM
How I Generate Investment Ideas

Panelists:
Olivia Engel, CIO, Active Quantitative Equities, SSAG
Andrew Homan, Sector Head, Maverick Capital
Becky Painter, Research Analyst and Portfolio Manager, Equity Division, Fidelity Investments
Gil Scott, Director of Research, Senior Vice President, Ivy Investments

Moderator: John Minahan — Independent Consultant

Session 1B — MADISON ROOM
How I Generate Investment Ideas

Panelists:
Amrita Dukeshier, Senior Equities Analyst, Invesco
Beth MacLean, Executive Vice President and Bank Loan Portfolio Manager, PIMCO
Erin Olofson, VP, Senior Credit Analyst, Amundi Pioneer
Diana Wagner, Equity Investment Analyst, Capital Group

Moderator: Scott Stewart, Clinical Professor, Johnson

10:55 a.m. – 11:40 a.m.

Session 2A — CHASE ROOM
How to Interview Management

Panelists:
Grant Cambridge, Equity Portfolio Manager, Capital Group
Jacqueline Cavenough, Portfolio Manager, Analyst, Putnam
Chuck Harris, Director of Research, ClearBridge
Katie Shaw, Sector Leader, Portfolio Manager, Equity, Fidelity Investments

Moderator: John Minahan — Independent Consultant
Session 2B
How to Interview Management
Panelists:
Bethany Caster, CFA, Equity Analyst, Amundi Pioneer
Rose Cooke, Vice President, Dimensional Fund Advisors
Elizabeth Jones, Global Equity Analyst, Assistant Vice President, Ivy Investments
Emily Scudder, Investment Analyst, Equity Division, T. Rowe Price
Moderator: Scott Stewart, Clinical Professor, Johnson

Session 3A
11:45 a.m. – 12:25 p.m.
Investment Strategy in the Current Economic Environment
Andrea Brezing, Vice President, Account Manager, PIMCO
Lee Chu, Fixed Income Investment Analyst, Capital Group
Ashley Conti, Senior Research Analyst, DDJ Capital Management
Kim Scott, Portfolio Manager, Senior Vice President, Ivy Investments
Moderator: John Minahan — Independent Consultant

Session 3B
12:25 p.m. – 1:35 p.m. — Capital Group Luncheon Panel Keynote: Wilson Ballroom
What it Takes to Succeed in an Investment Management Career
Panelists:
Najah Abouelafia, Equity Investment Analyst, Capital Group
Archana Basi, Equity Investment Analyst, Capital Group
Barbara Burtin, Equity Investment Analyst, Capital Group
Lee Chu, Fixed Income Investment Analyst, Capital Group
Kaitlyn Murphy, Equity Investment Analyst, Capital Group
Diana Wagner, Equity Investment Analyst, Capital Group

2:00 p.m. – 3:14 p.m. — WIN Round Robin Speed Networking Session 3
Table 1: Capital Group
Table 2: The Baupost Group, LLC
Table 3: Putnam
Table 4: Dimensional Fund Advisors
Table 5: Nuveen
Rotations will occur every 12 minutes with 2 minutes transition starting at:
2:00 pm, 2:14 pm; 2:28 pm; 2:42 pm; 2:56 pm

3:15 p.m. – 3:30 p.m. — BREAK

3:35 p.m. – 4:30 p.m. — WIN Round Robin Speed Networking Session 4
Table 6: PIMCO
Table 7: SSAG
Table 8: Vanguard
Table 9: ClearBridge
Rotations will occur every 12 minutes with 2 minutes transition starting at:
3:35 pm; 3:49 pm, 4:03 pm, 4:17 pm
### 2018 MBA Program Participants

<table>
<thead>
<tr>
<th>Name</th>
<th>School</th>
<th>MBA Class</th>
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<tbody>
<tr>
<td>Lina Bernal</td>
<td>Carnegie Mellon University, Tepper School of Business</td>
<td>2018</td>
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<tr>
<td>Shi (Elaine) Pan</td>
<td>Carnegie Mellon University, Tepper School of Business</td>
<td>2018</td>
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<tr>
<td>Alejandro Lobo Rodriguez</td>
<td>Carnegie Mellon University, Tepper School of Business</td>
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<td>Lynette Zhang</td>
<td>Carnegie Mellon University, Tepper School of Business</td>
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<td>Jean Choi</td>
<td>Columbia Business School</td>
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<td>Diana Jiang</td>
<td>Columbia Business School</td>
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<td>Tonya Kostrinsky</td>
<td>Columbia Business School</td>
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<td>Jasmine Gao</td>
<td>Columbia Business School</td>
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<td>Stephanie Moroney</td>
<td>Columbia Business School</td>
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<td>Chengxue Qin</td>
<td>Columbia Business School</td>
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<td>Angi Wang</td>
<td>Columbia Business School</td>
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<td>Miaozi (Vivian) Wang</td>
<td>Columbia Business School</td>
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<td>Wang Yun Cao</td>
<td>Columbia Business School</td>
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<td>Freda Zhuo</td>
<td>Columbia Business School</td>
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<td>Emma (Yvnen) Liang</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Caroline Lin</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Jiachen Ma</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Lindsey Staley</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Vera (Qinjian) Tang</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Honghong Tang</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Margot (Susan) Waldron</td>
<td>Cornell SC Johnson College of Business</td>
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<td>Chelsea Jurman</td>
<td>Harvard Business School</td>
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<td>Vivian Jiang</td>
<td>London Business School</td>
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<td>Shreji Parekh</td>
<td>London Business School</td>
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<td>Silver (Dexu) Qu</td>
<td>London Business School</td>
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<td>Swathi Seshadri</td>
<td>London Business School</td>
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<td>Larissa Bezerra Abreu</td>
<td>MIT, Sloan School of Management</td>
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<td>Marilyn Diemunsch</td>
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<td>San Young Hong</td>
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<td>Winnie (Yingyi) Liu</td>
<td>MIT, Sloan School of Management</td>
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<td>Jane Lu</td>
<td>MIT, Sloan School of Management</td>
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<td>Ila Agarwal</td>
<td>New York University, Leonard N. Stern School of Business</td>
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<td>Janet (Mei) Hong</td>
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<td>Neesha Khanna</td>
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<td>Amy Kim</td>
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<td>Xuezu Li</td>
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<td>Peng S</td>
<td>New York University, Leonard N. Stern School of Business</td>
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<td>Flavia Sounis</td>
<td>New York University, Leonard N. Stern School of Business</td>
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<td>Amy Zhou</td>
<td>Northwestern University, Kellogg School of Management</td>
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<td>Bharti Bhargava</td>
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<td>Jasmine Mann</td>
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<td>Victoria Sikes</td>
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<td>Duanting Zhao</td>
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<td>Vicki Cohen</td>
<td>University of Chicago, Booth School of Business</td>
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<td>Rebecca Li</td>
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<td>Lily Orlin</td>
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<td>Ankit Panwar</td>
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<td>Anni Shen</td>
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<td>Ashley Carew</td>
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<td>Cheryl Chen</td>
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<td>Athena Xie</td>
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<td>Gini Zhang</td>
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<td>Allison Zhao</td>
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<td>Rona Ji</td>
<td>Yale School of Management</td>
<td>2020</td>
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<td>Lisa Joseph</td>
<td>Yale School of Management</td>
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<td>Sonya (Xiaoru) Shen</td>
<td>Yale School of Management</td>
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<tr>
<td>Iris Wang</td>
<td>Yale School of Management</td>
<td>2020</td>
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Names in bold indicate students participating in the stock pitch showcase.
Panelists: Najah Abouelafia, Archana Basi, Barbara Burtin, Lee Chu, Kaitlyn Murphy, Diana Wagner

Diana Wagner, Equity Investment Analyst
Diana Wagner is an equity investment analyst at Capital Group, which is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 26 million individuals, institutions, and financial intermediaries. Ms. Wagner has been with Capital Group since 2013. Prior to joining Capital, Diana was at ING Barings in London and at SBC Warburg in New York. She holds an MBA from Columbia Business School and a bachelor’s degree in art history from Yale University. Diana is based in New York.

Rebecca Painter, Research Analyst and Portfolio Manager
Becky Painter is a research analyst and portfolio manager in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 26 million individuals, institutions, and financial intermediaries. In this role, Ms. Painter manages Fidelity Select Leisure Portfolio and covers consumer stocks, including restaurants, hotels, gaming, and cruise lines.

Prior to joining Fidelity as an equity research intern in 2012, Ms. Painter served on an equity analyst intern at SEI Investments. She has been in the financial industry since 2013.

Ms. Painter earned her bachelor of arts degree in economics from Swarthmore College.

Julia Pei, Research Analyst
Julia Pei is a research analyst in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services. In this role, Ms. Pei is responsible for equity research coverage of medical equipment stocks.

Prior to joining Fidelity in 2018, Ms. Pei was an associate at Equity Group Investments, the Zell family office. She has been in the financial industry since 2012.

Ms. Pei earned her bachelor of arts degree from The University of Chicago and her master of business administration degree from The Wharton School at the University of Pennsylvania.
**William Pruett, Portfolio Manager**

William Pruett is a portfolio manager in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products to more than 26 million individuals, institutions, and financial intermediaries.

In this role, Mr. Pruett is responsible for managing Fidelity Latin America Fund and Fidelity Advisor Latin America Fund (since 2015).

Prior to assuming his current role in September 2015, Mr. Pruett worked as an equity research analyst covering financial companies from 2013 to 2015, global mining from 2011 to 2013, and the international consumer segment from 2008 to 2011.

Before joining Fidelity in 2006, Mr. Pruett was an international manager at HSBC from 2001 to 2006. He has been in the financial industry since 2001.

Mr. Pruett earned his bachelor of arts degree in economics from Harvard University and his master of business administration degree from Harvard University.

**Melissa M. Reilly, Chief Investment Officer**

Melissa Reilly is chief investment officer in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 26 million individuals, institutions, and financial intermediaries.

In this role, Ms. Reilly acts as chief investment officer for the Large Cap Core, Growth, and Capital Appreciation teams. Additionally, Ms. Reilly serves as leader of the Domestic Stock Selector team.

Prior to assuming her current responsibilities, Ms. Reilly held various other roles with Fidelity, including that of portfolio manager of Fidelity Europe Capital Appreciation Fund, Fidelity Advisor Europe Capital Appreciation Fund, and the Europe portion of Fidelity Global Balanced Fund from 2007 to 2015, portfolio manager of Fidelity Nordic Fund from 2006 to 2011 and Fidelity Europe Fund from 2008 to 2012, and research analyst in the Global Research Group from 2004 to 2007.

Before joining Fidelity in 2004, she worked as a senior vice president, portfolio manager, and International Core research analyst at Putnam Investments from 1999 to 2004, a senior research analyst at Morgan Stanley & Co., and as a staff consultant at Anderson Consulting, where she began her career in 1993. She has been in the investments industry since 1993.

Ms. Reilly earned her bachelor of arts degree in economics from Columbia University and her master of business administration degree from the University of Chicago.

**Preeti Sayana, Research Analyst**

Preeti Sayana is a research analyst in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 26 million individuals, institutions, and financial intermediaries.

In this role, Ms. Sayana researches international small cap consumer companies with her main focus being in the Europe, Japan, and Australia markets.

Prior to assuming her current responsibilities in 2017, Ms. Sayana was an analyst on the emerging markets team and core research team. In the emerging markets team, where she was for 6 years, she covered emerging market consumer companies globally and also worked as the India small and mid-cap generalist. During her core research role the focus was on US large cap consumer stocks.

Before joining Fidelity in 2005, Ms. Sayana was an analyst at Putnam Investments from 1998 to 2005 where she held various research roles in consumer, telecom, and technology sectors. She has been in the financial industry since 1993.

Ms. Sayana earned her bachelor of commerce degree from Columbia University, her master of business administration degree in finance from the University of Massachusetts, and her master of science degree in finance from Boston College. She also is a CFA® charterholder.

**Katherine Shaw, CFA, Sector Leader and Portfolio Manager**

Katherine Shaw is a sector leader and portfolio manager in the Equity division at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing, and other financial products and services to more than 26 million individuals, institutions, and financial intermediaries.

In this role, Ms. Shaw serves as sector leader of the Global Consumer team and is responsible for providing research coverage for the consumer discretionary sector. Additionally, she manages Fidelity Select Consumer Discretionary Portfolio, Fidelity Advisor Consumer Discretionary Fund, Fidelity VIP Consumer Discretionary Portfolio, and Fidelity Consumer Discretionary Core Fund. She also manages Fidelity Stock Selector All Cap Fund.

Prior to joining Fidelity in 2008, Ms. Shaw served as a private equity associate at TA Associates and as an investment banking analyst at Salomon Smith Barney. She has been in the financial industry since 2000.

Ms. Shaw earned her bachelor of arts degree in economics and government from The University of Virginia and her master of business administration degree from Harvard Business School. She is also a CFA® charterholder.

**Richard S. Thompson, Managing Director of Research**

Richard Thompson is managing director of research at Fidelity Investments. Fidelity Investments is a leading provider of investment management, retirement planning, portfolio guidance, brokerage, benefits outsourcing and other financial products and services to more than 26 million individuals, institutions and financial intermediaries.

In this role, Mr. Thompson is responsible for the Small Cap and Technology teams as well as campus recruiting, both ungraded and MBA.

Prior to assuming his current responsibilities, Mr. Thompson held various other positions within Fidelity, including portfolio manager, small cap team leader at Fidelity International Limited (FIL) in London, equity research analyst, and research associate.

Before joining Fidelity in 1996, Mr. Thompson worked as an analyst and fund manager on the Manchester Growth Fund. He has been in the financial industry since 1991.

Mr. Thompson earned his bachelor of science degree in finance from Bentley College.

**Allison Tiernan, Research Analyst**

Allison Tiernan is a research analyst in the Equity and International Core research team. She has been in the financial industry since 1997.

Prior to joining Fidelity in 2017, Ms. Tiernan was a consultant and international development. In this role, Ms. Tiernan covers financial institutions in emerging market countries.

Prior to joining Fidelity in April 2012, Ms. Tiernan was a corporate research analyst at J.P. Morgan working on their sell-side emerging markets fixed income research team. She has been in the financial industry since 1997.

Ms. Tiernan earned her bachelor of science in development economics from Georgetown University. She is also a CFA® charterholder.

**Judith Finegold, Healthcare Equity Investment Analyst**

Judith Finegold is a Healthcare equity investment analyst at Fidelity International with research responsibility covering the US biotech sector. Judith has been working at Fidelity International for 2 years.

Prior to joining Fidelity, Judith spent 7 years working in Internal Medicine and Cardiology in the NHS in London. Judith combined her clinical work as a Doctor with clinical research in Human Renin multiple clinical trials both in an academic setting as well as collaborating with industry.

Judith holds an MBBS from Cambridge University and UCL, a PhD from Imperial College and an MBA from Insead.

**Lucas Klein, Director of Research**

Lucas Klein is a Director of Research at Fidelity Internationally, with responsibility for the US team, shorting team, and the technical and quantitative analysts. He is also responsible for the Global Property and Technology sector teams, and leads MBA recruiting. He is also active in leading initiatives on research technology & innovation and ESG.

Prior to joining Fidelity, Lucas spent nine years at Putnam Investments in Boston as the lead US Consumer Staples analyst. In addition to being a senior consumer analyst, Lucas managed a variety of funds including the Putnam Durable Equity fund, the Selige US and Global Funds (150/50 strategies), a consumer long-short fund, and the consumer staples sleeves of the Research Fund and George Putnam Balanced Fund. Prior to Putnam, Lucas spent time at RiverSource Investments (now Columbia Threadneedle) and Morgan Stanley. He has been investing for 14 years, with prior experience in management consulting and international development.

Lucas holds a Bachelor of Science degree from Northwestern University and an MBA from Harvard Business School.

**Louis Ng, Early Careers Talent Acquisition Manager**

Louis Ng is the Early Careers Talent Acquisition Manager at Fidelity International in Hong Kong. He is responsible for hiring graduates, summer interns and experienced analysts for Asia offices. During his career, Louis has worked in the human resources divisions of different financial institutions including Bank of America Merrill Lynch, Barclays, Deutsche Bank and Royal Bank of Scotland.

Louis also leads Fidelity for Everyone’s Network where he is engaged in a number of key LGBT+ clinicals in the region including gender, LGBT+ and disabilities. He was selected as Outstanding LGBT+ Future Leader 2018 presented by the Financial Times.

Louis graduated with a B.A. in Language Studies with Business from the Hong Kong Polytechnic University and a PGCert in Human Resources Management.

**Lucas Klein, Director of Research**

Lucas Klein is a Director of Research at Fidelity Internationally, with responsibility for the US team, shorting team, and the technical and quantitative analysts. He is also responsible for the Global Property and Technology sector teams, and leads MBA recruiting. He is also active in leading initiatives on research technology & innovation and ESG.

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Louis graduated with a B.A. in Language Studies with Business from the Hong Kong Polytechnic University and a PGCert in Human Resources Management.
Elizabeth Jones, Investment Analyst

Elizabeth Jones joined the organization in 2018 as an equity investment analyst. Her research responsibilities are concentrated in health care (health care equipment and supplies, life sciences tools and services, non-U.S. pharmaceuticals). Prior to joining the firm, Dr. Jones was affiliated with Kornitzer Capital Management (KCM) beginning in 2003, where she held positions as a health care equity analyst and equity portfolio manager.

Before KCM, she was a health care equity analyst for Bank of America Capital Management. From 1992 to 1996, Dr. Jones was a practicing MD working at the University of Chicago Hospitals and Clinics as an Internal Medicine and Pediatric resident. She served at the Phoenix Indian Medical Center, United States Public Health Service in Phoenix, Arizona from 1996 to 2000. Dr. Jones received a BS in Psychology from Georgetown University, an MD from Vanderbilt School of Medicine, and an MBA, with a specialization in financial management and markets, from Arizona State University. She is a CFA® charterholder.

Sara Ross, Senior Vice President, Portfolio Manager

Sarah Ross is portfolio manager of Ivy Global Growth Fund and Ivy VIP Global Growth. She was named portfolio manager of the Global Growth funds in 2014.

Ms. Ross joined the organization in 2003 as an equity investment analyst. She was appointed assistant vice president in 2006. She was assistant portfolio manager to the Large Cap Growth team from 2006 to 2014. She was portfolio manager of Global Equity Funds from 2009 to 2014. She was appointed vice president in 2009 and senior vice president in 2015.

Prior to joining the firm, Ms. Ross was a senior health care analyst and vice president for Banc of America Capital Management from 2001 to 2003. Her responsibilities included equity analysis of pharmaceutical, medical device and health care service companies. From 1995 to 2001 Ms. Ross was with Edward Jones, during which time she was a senior health care analyst and limited partner. In that role, she was responsible for the fundamental analysis of large and mid cap stocks in the pharmaceutical and diversified health care sectors.

Ms. Ross earned both a BS in Business Administration and a BA in French in 1995 from John M. Olin School of Business, Washington University, St. Louis, Missouri. She also studied at Ecole Europeene Des Affaires A Paris, Paris, France. The French curriculum included global finance, French society, international marketing and corporate law.

Gilbert C. Scott, CFA, Scott, Senior Vice President, Global Director of Equity and Fixed Income Research

Gil Scott oversees all research analysts covering sectors across the global equity and fixed income landscape. He was appointed Director of Research in 2016. Mr. Scott joined the organization in 1997 as an equity investment analyst. He was named assistant portfolio manager to the Large Cap Growth team in 2000, assistant vice president of the Investment Management Division, including the Graduate Internship Program. Prior to joining the firm, Mr. Scott was a senior client services manager with Bank of America.

Mr. Scott graduated from the University of Kansas in 1982 with a B.S in Microbiology. He earned an MBA in Finance from the University of Cincinnati in 1987.

Ms. Scott is a CFA® charterholder. She is a member of the CFA Institute and the CFA Society Kansas City.

Keri Westland, Talent Acquisition Business Partner

Keri Westland joined the organization in 2018 as a Talent Acquisition Business Partner. Her responsibilities include managing the Capital Growth team in 2000, assistant vice president of the Investment Management Division, including the Graduate Internship Program. Prior to joining the firm, Ms. Westland was a Talent Acquisition Consultant, Assistant Vice President, at State Street in Kansas City, MO.

Ms. Westland graduated from Luther College in 1997.
Michael Temple, Managing Director, Director of Corporate Credit Research, US Portfolio Manager
Michael Temple is Managing Director, Director of Corporate Credit Research, US, and Portfolio Manager at Amundi Pioneer. His duties include overseeing a team of credit analysts who conduct independent research of credits and sector analysis. He coordinates US-based research efforts in high yield, bank loan, investment grade, emerging markets, and municipal credit. Michael is also a Portfolio Manager of a multisector credit strategy for retail and institutional investors.

Prior to joining Amundi Pioneer, Michael was a Portfolio Manager at Baron Partners Asset Management, where he helped manage $1.5 billion in institutional Core Plus strategies. He was also a Senior Credit Analyst at Putnam Investments, where he was responsible for the analysis of investment grade, high yield, and emerging market investments. He was a Senior Credit Analyst at Duff and Phelps in Chicago, and the Director of Planning and Investor Relations for the Public Service Company of New Mexico.

Michael received a B.A. and M.B.A. from the University of Colorado.

The Baupost Group

Ellen Lee, Director and Fundamental Portfolio Manager
Ms. Lee is a Director and Fundamental Portfolio Manager at Causeway and is responsible for investment research in the global utilities and energy sectors. Prior to the current role, she also covered transportation and autos. She joined the firm in August 2007 and has been a Portfolio Manager since January 2015.

During the summer of 2004, Ms. Lee interned at Tiger Asia, a long short equity hedge fund focused on China, Japan, and Korea. From 2001 to 2004, Ms. Lee was an Associate in the mergers and acquisitions division of Credit Suisse First Boston in Seoul, where she advised Korean corporates and multinational corporations.

From 1999 to 2000, she was an Analyst in the Mergers and Acquisitions division of Credit Suisse First Boston in Hong Kong.

Investment experience: 15 years

Ms. Lee earned a BA in Business Administration from Seoul National University and an MBA from the Stanford Graduate School of Business. She currently serves on the Audit and Investment Committee at the Center for Early Education in West Hollywood.

Stephanie Neruda, Director of Institutional Business Development
Ms. Neruda is a Director of Institutional Business Development at Causeway. She joined the firm in August 2017.

From 2012 to 2015, Ms. Neruda worked as a Credit Sales Director for Standard Chartered Bank, providing sales advice and product offerings within Asian and emerging markets regions. From 2010 to 2012, she was a Vice President with Credit Suisse, working in both emerging markets fixed income and equity sales teams. Ms. Neruda began her career in 2005 as an Investment Banking Analyst at Merrill Lynch in the Financial Institutions Group.

Ms. Neruda earned a BS in Management Science & Engineering from Stanford University, an MBA from The Wharton School, University of Pennsylvania, and is a CFA charterholder.

Chuck Harris, Director of Research and Senior Research Analyst
Charles Harris is the Director of Research and Senior Research Analyst for Industrials at ClearBridge Investments. He leads the firm’s research efforts for the Industrials sector, research analysts. He joined ClearBridge Investments in 2011 and has 34 years of investment industry experience. Chuck has a wide range of experience, having worked as a Senior Equity Analyst at SAC Capital; Manager of Credit Research at EM Capital Partners/LaSalle & Co.; Partner at Saranac Capital Management; Director at Salomon Brothers Asset Management; Director at INVESCO; Managing Director at CIBC World Markets; and Associate Research Director at Value Line. Chuck graduated with an AB in Political Science from Vassar College.

Jean Yu, Portfolio Manager
Jean Yu co-manages the Value Equity Strategy for ClearBridge Investments and has 16 years of investment industry experience. She joined the firm in 2002 as a Research Analyst responsible for research in the health care sector as well as transportation logistical companies, industrial gas, and Chinese internet stocks. Previously, she worked as a Senior Business Analyst, managing consulting projects for Fortune 50 clients in the pharmaceutical industry at ISO Health Care Group. She received an MD from Beijing Medical University, an MBA from Duke University in Health Sector Management and Finance and a PhD in Molecular Biology from Columbus University. Jean received the CFA designation in 2006.

Dave Breazzano, President and CIO
David Breazzano, MBA ’80, President & CIO is a cofounder of DDJ Capital Management and has 35 years of experience in high yield, distressed, and special situations investing. At DDJ, he oversees all aspects of the firm and chairs the Senior Management, Remuneration, and Investment Review Committees.

Prior to forming DDJ, from 1990 to 1996, he was a vice president and portfolio manager in the High Income Group at Fidelity Investments, where he had investment management responsibility for over $4 billion in high yield and distressed assets. Specifically, he was a portfolio manager of the Fidelity Capital & Income fund, which was one of the largest high yield funds in existence at that time. In addition, Mr. Breazzano co-managed the distressed investing operation at Fidelity.

Prior to joining Fidelity in 1990, Mr. Breazzano was a vice president and portfolio manager at T. Rowe Price Associates. Before joining T. Rowe Price in 1985, he was a high yield analyst and vice president at First Investors Asset Management, which had over $1 billion in high yield assets under management. Mr. Breazzano began his professional career at New York Life as an investment analyst. Mr. Breazzano is the author of the chapter entitled “Distressed Investing” in Leveraged Financial Markets: A Comprehensive Guide to High-Yield Bonds, Loans, and Other Instruments and co-author of the chapter entitled “Trading in the Distressed Market” in Investing in Bankruptcies and Turnarounds. He received his MBA from the Johnson School at Cornell University where he currently chairs the advisory council, and graduated cum laude with a BA from Union.

Ashley A. Conti Smith, Senior Research Analyst
Ms. Conti joined DDJ in 2015 and has more than eight years of investment experience. Ms. Conti specializes in researching and analyzing investments for a variety of industries and international regions. Prior to joining DDJ, Ms. Conti worked in the investment banking divisions of both Moelis & Company and Jefferies & Company where she focused on corporate restructuring and mergers & acquisitions across a variety of industries. Ms. Conti also worked at Pro Mujer, a non-profit microfinance organization based in Latin America. Ms. Conti received her BA from Bowdoin College where she was a Sarah and James Bowdoin Scholar. She earned her MBA from the Tuck School of Business at Dartmouth College where she was named an Edward Tuck Scholar and received the Julial Stell Award for leadership.

Elizabeth Duggan, Associate General Counsel
Ms. Duggan joined the DDJ legal department in 2006. Ms. Duggan is responsible for reviewing, structuring and negotiating the terms of investments made by funds and accounts managed by DDJ. She is also a member of the Investment Review Committee. Prior to joining DDJ, she was a senior associate in the Leveraged Finance Group at Goodwin Procter, LLP and an associate in the Corporate, Securities, and Finance Group of Pillsbury Winthrop LLP, in New York. Ms. Duggan has significant experience representing institutions on various domestic and cross-border financing transactions. Ms. Duggan received her JD from Northwestern University School of Law and her BA from Cornell University. Ms. Duggan is a member of the Commonwealth of Massachusetts and the State of New York.

Ashley Guan, Research Analyst
Ashley, Research Analyst, started her career in the sales & trading division at Deutsche Bank in Hong Kong and worked as a convertible bonds sales for three years before attending the MBA program at Harvard Business School. After graduation from HBS, Ashley joined State Street Global Advisors as a research analyst and rotated in several investment strategies across asset classes. Ashley joined DDJ Capital Management in May 2017 and has been working as a research analyst, investing in high yield bonds and leveraged loans with a focus on issuers in the media, chemical and industrial sectors. Ashley is a CFA charterholder.
Roman Rjanikov, Director of Research, Assistant Portfolio Manager
Mr. Rjanikov joined DDJ in 2007 and has more than 15 years of experience in sourcing, analyzing, and managing investments across a variety of industries. Mr. Rjanikov serves as the assistant portfolio manager of DDJ’s U.S. Core High Yield strategy as well as the Director of Research. In addition, he is presently responsible for investments in the technology and telecom industries, and is a member of the Investment Review Committee. Prior to joining DDJ, Mr. Rjanikov was an Equity Research Analyst at MFS Investment Management since 2005. While at MFS, Mr. Rjanikov covered a variety of industries with a focus on equities of public US companies. From 1995 to 2001, Mr. Rjanikov was a Senior Financial Analyst at Hewlett-Packard Company in the US, Switzerland, and Russia. Mr. Rjanikov earned his MBA (with Distinction) from Harvard Business School and M. Sc. from Plekhanov Russian University of Economics.

Laura A. Zink, Director, Business Development & Relationship Management
Ms. Zink joined DDJ in 2014 and has more than 16 years of experience in the investment management industry. She is a relationship manager for DDJ and also has business development responsibilities. Prior to joining DDJ, she served as a relationship manager at Standish Asset Management, where she worked with a broad range of institutional clients in the United States and Japan. Prior to that, Ms. Zink was also a relationship manager at BMO Global Asset Management, where she worked with domestic clients that included Corporate Pension and Taft-Hartley plans as well as endowments and foundations. Earlier in her career, she worked at Northern Trust and at Mercer Investment Consulting. Ms. Zink received both her MBA and BS from DePaul University.

Rose Cooke, Vice President
Vice President Rose Cooke leads Dimensional’s distribution efforts for financial advisor business within broker/dealer and subadvisory/private annuity channels. Rose has more than 15 years of experience leading sales and distribution teams, including positions at Salomon Brothers Asset Management, Wachovia, and Credit Suisse. At each of these firms, Rose managed sales, established strong relationships with key industry participants, placed funds on platforms, and developed and executed strategic growth plans. At Salomon Brothers, Rose was instrumen- tal in growing the subadvisory/private annuity business from $400 million to more than $30 billion in just seven years.

Ms. Zink received from Fordham University with concentrations in economics and chemistry. She received an MBA from the University of Chicago Booth School of Business. Rose is Series 7, 24, 63, and 65 registered.

Mary Phillips, Vice President, Senior Portfolio Manager
Mary Phillips is a Senior Portfolio Manager, Vice President, and a member of the Investment Committee and Investment Research Committee at Dimensional. She led a team of investment professionals who manage developed ex US large cap equity portfolios. She also represents Dimensional and the firm’s portfolios in meetings with current and prospective clients.

Before coming to Dimensional in 2012, Mary worked as a portfolio manager at Russell Investments, which designed and implemented synthetic indexing strategies for public and private pensions.

Mary earned an MBA with concentrations in analytic finance, statistics and econometrics, and managerial and organizational behavior from the University of Chicago. She also holds the CFA designation and completed a BA in business administration at the University of Puget Sound. Mary is Series 7 and 66 licensed.

Amrita Dukeshier, Senior Equities Analyst
Amrita Dukeshier is a Senior Equities Analyst with the cannabis industry and has a background in equity research. Prior to joining Dimensional in 2018, Ms. Dukeshier served as an equities analyst for Fidelity Investments from 2008 to 2011.

Prior to entering the investment industry, Ms. Dukeshier was a senior software engineer at Freescale Semiconductor Inc. Ms. Dukeshier earned a BS degree, cum laude, in computer science from Indiana University and an MBA from the University of Texas at Austin.

William Guthrie, CFA, Senior Equities Analyst
William Guthrie is a Senior Analyst, focusing on equity research. Mr. Guthrie joined Dimensional in 2014. He entered the industry in 2004 as a financial analyst at Edgeview Partners. Previously, he was a senior associate at Ares Management.

Mr. Guthrie earned a BS degree in economics and biology from Davidson College, as well as an MBA from the McCombs School of Business at The University of Texas at Austin. He is a Charterholder (CFA) charterholder and a member of the Houston Society of Financial Analysts.

Uman Khetan, Senior Equities Analyst
Mr. Khetan joined Invesco in 2012. He previously worked as an analyst at Newstone Capital Partners, where he focused on analyzing distressed debt and private equity markets. He began his career in finance in 2005 as an investment banking analyst for UBS Investment Bank, where he advised on mergers and acquisitions and leveraged buyouts.

Mr. Khetan earned a BS degree with a triple major in economics, Russian language, and Slavic studies from the University of California, Los Angeles, and an MBA from Columbia University. He is a Chartered Financial Analyst (CFA) charterholder.

Chris McMeans, Portfolio Manager
Prior to joining Invesco in 2008, Mr. McMeans was an equity research analyst for Lightweight Capital Management. Previously, he was an equity support analyst for Morgan Stanley Investment Management’s Van Kampen subsidiary. He entered the industry in 1999.

Mr. McMeans earned a BA degree in economics from The University of Texas at Austin and an MBA with honors from the University of Houston. He is a Chartered Financial Analyst (CFA) charterholder.

Maverick

Andrew C. Homan, Sector Head
Mr. Homan leads Maverick’s efforts in technology investments. Prior to joining Maverick in 2004, Mr. Homan was an Analyst in the Investment Banking Division, Financial Institutions Group at Goldman, Sachs & Co. Mr. Homan received his B.A. from Yale University where he was a member of the heavyweight crew team.

Andrew F. Lentz, Senior Trader
Mr. Lentz joined Maverick in 2014 after working as an Analyst in the Equity Derivatives Sales and Trading division at Credit Suisse Group. Mr. Lentz obtained his B.S. in business administration from the McDonough School of Business at Georgetown University where he was captain of the varsity baseball team.

David Singer, Private Investments
David Singer is a partner at Maverick and has been involved in private investments since he joined Maverick in 2004. Maverick Ventures was established in 2015. Previously David was a founder and CEO of three companies: Affymetrix, Concept Therapeutics, and Geneset Pharmaceuticals, the latter two in which Maverick invested. David currently serves on the boards of private companies in healthcare and software-driven marketplace businesses, and one public company, Caitlight Health. During his career, David has served on the boards of 19 private companies including five that became public companies during his service. David received a B.A. from Yale University and an M.B.A. from Stanford University. He is a member of the Rand Corporation’s Health Advisory Board. He is currently Vice Chair of College Track and was formerly Vice President of the San Francisco Health Commission. He lives in San Francisco with his wife Diana Kapp, and their three kids.

Lara G. Woo, Managing Director
Ms. Woo is a Managing Director focused on Maverick’s fund of funds and seeding efforts. She first joined Maverick in 2009 as an analyst for the Emerging Markets Group and has been a member of the Fund of Funds Group since 2014. Prior to Maverick, Ms. Woo was an Analyst in the Investment Banking Division at Morgan Stanley. She graduated from New York University with a B.S. in finance and marketing (magna cum laude).

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Iva Krasteva, CFA, Director
Iva Krasteva, CFA is a Director covering the U.S. energy sector for TIAA Investments. She has over 11 years of investing experience in the sector, 4 of which have been at TIAA. As TIAA Investments US energy analyst, Ms. Krasteva is responsible for analyzing and investing in companies in that space. Prior to joining TIAA Investments, Ms. Krasteva spent 7 years at Barclays in the energy research department in both New York City and London. Ms. Krasteva holds an MBA from Columbia Business School and a BA in Finance and Accounting from the University of Bridgeport. She has also earned the Chartered Financial Analyst designation.

PIMCO

Andrea Brezing, Vice President and Account Manager
Ms. Brezing is a vice president and account manager and a member of PIMCO’s institutional business group. She specializes in endowments, foundations and other nonprofit organizations, along with investment consul- tant relationships. Prior to joining PIMCO in 2013, she was in the investment management division at Goldman Sachs and a portfolio specialist on the investor relations and marketing team at Protege Partners. She has 10 years of investment experience and holds an MBA from the University of Chicago Booth School of Business with a concentration in finance and entrepreneurship. She received her bachelor’s degree from Duke University.

Kristen Chang, Vice President and Campus Recruiter
Ms. Chang is a vice president and campus recruiter in the New York office, focusing on undergraduate recruit- ing across PIMCO’s global businesses. Prior to joining PIMCO in 2016, Ms. Chang was an associate director at Deloitte and Touche, and more recently, served as an assistant director of undergraduate admissions at the University of Southern California. She has five years of human resources experience and holds undergraduate
degrees in business administration and accounting from the University of Southern California Marshall School of Business and Leventhal School of Accounting.

Beth MacLean, Executive Vice President and Bank Loan Portfolio Manager

Ms. MacLean is an executive vice president and bank loan portfolio manager with the firm's Lending Division of the Media/Entertainment Group at Donaldson, Lufkin & Jenrette and an investment advisor. Prior to joining the firm, she was a partner and bank loan portfolio manager at Lord Abbett, where she oversaw the firm's leveraged loan portfolio management team and managed structured products. Previously, she was a managing director and portfolio manager for leveraged loan investments at Nomura Corporate Research and Asset Management. Before that, she was vice president and portfolio manager at Pilgrim Investments and also held senior corporate lending officer roles at the Bank of Hawaii and the Bank of New York. She has 29 years of investment experience and holds an MBA from the W.P. Carey School of Business at Arizona State University. She received an undergraduate degree from Vanderbilt University. Ms. MacLean is both a board member of the Putnam Investments, and a member of The Wives of the Wharton School, and is a Senior Managing Director of Putnam Investments.

Elizabeth C. McGuire, Portfolio Manager and Analyst

Ms. McGuire is a Portfolio Manager of Putnam Capital Opportunities Fund and an Analyst in the Equity Research group, focusing on the agriculture, global chemicals, materials, and industrial sectors. She is responsible for conducting fundamental analysis and valuation of agri-cultural, global chemical, paper and packaging companies, and U.S. small and mid-sized industrial firms, and for making buy/sell recommendations. Ms. McGuire originally joined Putnam in 2014 as an Intern while earning her M.B.A.

She has been in the investment industry since she rejoined Putnam full-time in 2015. Previously, she worked for Goldman Sachs & Co. as an Assistant Portfolio Manager of Putnam Equity Income Fund. In addition, he is an Analyst in the Equity Research group, focusing on the U.S. consumer discretionary sector. Mr. Scully is responsible for conducting fundamental analysis and valuation of companies in the consumer cyclical industries, and making buy/sell recommendations. He has been in the investment industry since joining Putnam in 1996.

Previously, he served as Senior Account at Pricewaterhouse Coopers. Mr. Scully holds a B.S. in Accounting from Ohio State University, and an M.B.A. in Finance from the University of Chicago, Booth School of Business. He has earned the Chartered Financial Analyst designation and is a member of the CFA Institute and is a Past President of the CFA Society of Sydney.

Anna Dolkin, Vice President

Anna Dolkin is a vice president of T. Rowe Price Group, Inc. Currently she is the project sponsor for several of the Equity Department’s technology initiatives. Anna joined the firm’s Equity Research – North America as a member of the Equity Steering Committee and the Equity Technology Committee. From 2007 through 2014 she was the lead portfolio manager for the Putnam Equity Income Fund. In addition, she is a member of the CFA Society of Sydney.

Jennifer O’Hara Martin, Vice President

Jennifer O’Hara Martin is a vice president of T. Rowe Price Group, Inc., and portfolio specialist in the U.S. Equity Research Strategy. Jennifer is a member of the US Structured Equity Equity Strategy portfolio oversight team, the Investment Advisory Committee of the Capital Opportunity Fund, and the Global Technology Strategy team. She joined the firm as a research analyst in 2005. As an analyst, Jennifer covered food retailing and discount stores and had portfolio management responsibilities in the firm’s US Structured Research Equity Strategy. Prior to this, she was a research analyst at Northern Trust, following telecommunications and business services companies. Jennifer was also employed by Merrill Lynch, where she worked as an investment banking analyst. She earned a B.S. in agricultural economics from the University of Illinois at Urbana-Champaign and an M.B.A. from Northwestern University Kellogg School of Management. Jennifer presently serves on the Board of Trustees of the Baltimore Museum of Art.

Emily Scudder, Vice President

Emily Scudder is a Vice President of T. Rowe Price Group, Inc. She is an investment analyst in the U.S. Equity Division, covering healthcare companies within the technology sector. Prior to joining T. Rowe Price in 2015, Emily worked as an equity intern at Invesco in Houston. Previously, she was an investment associate at J. PMorgan Capital Markets in San Francisco, covering semiconductor companies. Emily earned a bachelor of arts in business economics and a bachelor of science in financial mathematics and statistics at the University of California Santa Barbara. She earned an M.B.A. from The Wharton School, University of Pennsylvania. Emily also has earned the Chartered Financial Analyst designation and is a certified public accountant.

Nina Xu, Investment Analyst

Nina Xu is an investment analyst in the U.S. Equity Division, covering healthcare companies. Nina was an M.B.A. summer intern at T. Rowe Price in 2017, as well as a pre-M.B.A. summer intern in 2016. Previously, she worked at T. Rowe Price providing portfolio analysis, stock analysis and research coverage of the U.S. technology sector. Prior to joining T. Rowe Price in 2015, Nina worked as an investment analyst at J.P. Morgan Capital Markets in San Francisco, covering semiconductor companies. Nina earned her B.S. in biomedical engineering, with a concentration in analytics, from Johns Hopkins University. She earned her M.B.A., with a concentration in analytic finance, economics, and technology, from the University of Chicago Booth School of Business. Nina also has earned the Chartered Financial Analyst designation.
Lynnette Dueñas, Internal Sales Support and Client Services Manager

Lynnette Dueñas joined Vanguard in 2013 through its MBA Development Program, an eighteen month rotational program where she spent time in three departments helping resolve complex business challenges. She is currently the Internal Sales Support and Client Services Manager for the Americas in the International Division. She is in the process of obtaining the Series 7, 63 and 24 licenses. In her previous role in Retail Client Operations, she focused her efforts on evolving the organization by increasing the efficiency of processing client transactions and influencing digital alternatives to manual workflows.

Prior to Vanguard, Lynnette worked primarily in financial services firms, starting with Bear Stearns as a Derivatives Specialist, Citigroup as a Margin Analyst and Citco Fund Services in Trade Operations Analyst, P&L Analyst and Team Leader roles.

Lynnette is currently the co-lead for Attraction and Selection within HOLA (The Hispanic/Latino Organization for Leadership and Advancement) at Vanguard. As an alumna of Management Leadership for Tomorrow’s MBA Prep Program, she enjoys speaking to young professionals, especially minority professionals, about the pursuit of an MBA. She earned a B.S. from the Wharton School at the University of Pennsylvania and an MBA from the Johnson Graduate School of Management at Cornell University.

Amy Hernandez, Senior Analyst

Amy Hernandez is a Senior Analyst on Vanguard’s Investment Stewardship team. The Investment Stewardship team represents Vanguard fund shareholders’ interests through advocating for best corporate governance practices, engaging with portfolio companies on corporate governance issues, and voting proxies. As a senior analyst, Amy works closely with the analysts to research and analyze portfolio company practices, and supports the senior strategists in engagements with the boards of directors and senior management teams of Vanguard’s portfolio companies. Amy joined the Investment Stewardship team after joining Vanguard in 2017 as part of the MBA Development Program. During the rotational program, she led strategic projects on the Defined Contribution Advisory Services team, Product Strategy team, and Investment Stewardship team.

Prior to joining Vanguard, Amy spent four years as an analyst and associate in the Portfolio Management Group at Hall Capital Partners LLC in New York City, and she began her career as an Assistant Media Planner at Maxus Global. Amy received her MBA from the Johnson Graduate School of Management at Cornell University, where she was a Roy H. Park Leadership Fellow, and received her undergraduate degree in Economics from Colby College.

Helen Ho, Program Manager

Helen Ho is a Program Manager for Vanguard Investment Management Shanghai. Prior to this role, Helen was a Senior Manager within Vanguard’s Retail division supporting both the Marketing department and Personal Advisor Services department for four years. During her time in these roles, she focused on the Mass Affluent Client Experience as well as the overall Personal Advisor Client Experience. She joined the Personal Advisor team in 2012 as Vanguard was at the inception of creating the service. Throughout her time there, she led teams that developed the client experience, internal processes, evaluated risks and necessary changes and partnered with various internal stakeholders to deliver continued enhancements to the service. Her team was key to the public launch in 2015 and she continues to maintain close relationships with the team as they continue to move forward.

Prior to joining Vanguard, Helen spent five years in Boston working for Fidelity Investments as an advisor for their Boston based clients. Helen holds an MBA from the McDonough School of Business—Georgetown University and received her undergraduate degree in Economics from Cornell University. She holds FINRA Series 7 and 63 licenses, has her CFP® designation.
Lakshmi Bhojraj, ‘95, MBA ‘01
Breazzano Family Executive Director, Parker Center for Investment Research
Lakshmi Bhojraj joined the Parker Center in June 2003. In her role as Executive Director, she has overseen the $1 million Cayuga MBA Fund, is responsible for developing and maintaining corporate relationships for the Parker Center, advises students with an interest in asset management careers, and runs several signature events for the Center and Johnson, including the MBA and Undergraduate Women in Investing (WIN) Conferences. Prior to assuming her current role, she was an equity analyst at Salomon Smith Barney, now Citi, where she covered the Life Sciences industry. Lakshmi is a graduate of Johnson and also obtained her undergraduate degree from Cornell University. Before obtaining her MBA, Lakshmi was an associate in the equity research department at Deutsche Bank, where she covered the medical devices industry.

Scott D. Stewart, PhD, CFA
Clinical Professor, Johnson and Faculty Co-Director, Parker Center for Investment Research
Scott D. Stewart is a clinical professor of finance and accounting at the Samuel Curtis Johnson Graduate School of Management, Cornell University. He is also faculty director of Cornell’s Parker Center for Investment Research.

Between 2002 and 2012, he was a research associate professor at Boston University’s School of Management and the faculty director of the school’s graduate program in investment management. Prior to his academic career, Dr. Stewart was a portfolio manager of global long and long-short equity, fixed-income, and asset allocation strategies. His investment career included 14 years at Fidelity Investments, where he was founder and equity group leader of the $45 billion Structured Investments Group. Dr. Stewart was also senior adviser to equity research at Fidelity and a portfolio manager at State Street Bank Asset Management Division (now State Street Global Advisors).

His research interests include investments, the behavior of institutional investors and management education. He is a board member of the Boston Security Analysts Society, associate editor of the Journal of Risk Finance, coauthor of Running Money: Professional Portfolio Management and author of Manager Selection. He earned an MBA and a PhD in Finance at Cornell University.

Susan Hu
Center Coordinator
Susan earned her bachelor’s degree from Wellesley College in Wellesley, Massachusetts, and obtained a master’s degree in architecture design from Tsinghua University in China. She most recently worked as a project coordinator in the realm of retail design management. Aside from her design background, she has experience working with students and seeks opportunities to promote the interests of women in the professional world. Susan recently moved to Ithaca with her husband, who is enrolled as a dual degree MBA/MHA student at Cornell. She is a native of New York City and lived in Beijing, China for nine years after graduating from college.

Cathy Wetterer
Administrative Assistant
Cathy joined the Parker Center in the fall of 2015 after serving 11 years at the Cornell Center for Materials Research as an accounts assistant. Prior to this, she served as a faculty support aide at Johnson for many years. She has served in various administrative capacities at Cornell University for over 24 years.

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Elizabeth Cheverie, Fidelity Investments
Mollie Wasser, Fidelity Investments
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