

## CHRISTOPHER MARQUIS

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### EMPLOYMENT

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#### CORNELL UNIVERSITY

- Samuel C. Johnson Professor in Sustainable Global Enterprise; Professor of Management and Organizations, 2015-present
  - Member of Field of Sociology, 2015-present
  - Faculty Affiliate, Center for the Study of Economy and Society, 2016-present
- Visiting Professor of Management and Organizations, 2014-2015

#### HARVARD UNIVERSITY

- Visiting Professor of Social Innovation and Public Policy, 2017
- Associate Professor of Business Administration, 2010-2015
  - Faculty Affiliate, Hauser Center for Non-Profit Organizations, 2010-2015
  - Faculty Affiliate, Fairbank Center for Chinese Studies, 2012-2015
  - Faculty Affiliate, Harvard University Center for the Environment, 2014-2015
  - Marvin Bower Fellow, 2011-2013
  - Global Research Fellow (Shanghai), 2012
- Assistant Professor of Business Administration, 2005-2010

#### VISITING AND TEMPORARY POSITIONS

- Peking University, 2015-present (Distinguished Special Appointment Professor), 2011 (Spring)
- Shanghai Jiaotong University, 2014-present (Distinguished Special Appointment Professor), 2013 (Summer)
- Fudan University, 2012 (Spring and Summer)
- Hong Kong University of Science and Technology, 2009 (Summer), 2010 (Summer), 2011 (Summer)

#### J.P. MORGAN CHASE

- Vice President, Technology Manager, Corporate Operations Unit, 1999-2000
- Vice President, Staffing & Training Manager, Corporate Operations Unit, 1997-1999
- Assistant Vice President, Strategic Projects Manager, 1996-1997

#### PNC BANK CORPORATION

- Project Manager, 1994-1996

### EDUCATION

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#### UNIVERSITY OF MICHIGAN

- PhD in Sociology & Business Administration, 2005
- MA in Sociology, 2002

#### UNIVERSITY OF PITTSBURGH

- MBA in Finance, 1994
- MA in History (focus on Chinese history), 1993

#### UNIVERSITY OF NOTRE DAME

- BA in History with honors, interdisciplinary certificate in Asian Studies, 1992

## HONORS & AWARDS

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- Elected to Macro-Organizational Behavior Society (MOBS), 2015
- *China Policy Review* article “Creating Shared Value in China,” selected for 2014 *China Economic Yearbook* published by the Chinese State Council Research and Development Center.
- Outstanding Reviewer Award, *Organization Science*, 2014
- Batten Honorary Lectureship on Entrepreneurship, Sponsored by the Batten Institute at the Darden School of Business and Entrepreneurship Division of the Academy of Management, 2014
- Named to list of top “50 Global People” by Portrait Magazine, China (人物杂志), 2014
- Finalist, Aspen Institute Faculty Pioneer Award, 2013
- Named High-level Overseas Expert, Administration of Foreign Expert Affairs, Government of China, 2012
- HBS Case Study “Social Innovation at salesforce.com” used for team case analysis finals in National MBA Human Capital Case Competition, 2012
- Finalist, Aspen Institute Faculty Pioneer Award, 2010
- Runner-Up, Academy of Management, OMT Division, Best Published Paper in Organization and Management Theory in 2009
- Academy of Management, William H. Newman Award for best paper based on a dissertation, 2006
- Academy of Management, OMT Division, Louis R. Pondy Award for best paper based on a dissertation, 2006
- State Farm Foundation Doctoral Dissertation Award, 2004
- Finalist, INFORMS/Organization Science Dissertation Proposal Competition, 2004
- American Sociological Association, OOW Division, James D. Thompson Award for best graduate student paper, 2003

### CORNELL UNIVERSITY

- Faculty Research Award, Johnson Graduate School of Management, 2015-16

### HARVARD BUSINESS SCHOOL

- Finalist, Wyss Award for Excellence in Doctoral Mentoring, Harvard Business School, 2009, 2010

### UNIVERSITY OF MICHIGAN

- Distinguished Alumni Award (PhD), University of Michigan Ross School of Business, 2015
- Horace H. Rackham Dissertation Award, Honorable Mention (university-wide competition), 2005
- Horace H. Rackham Predoctoral Fellowship (full year fellowship, university-wide competition), 2004
- Dykstra Award for Teaching Excellence (full year fellowship, Business School), 2003
- Best Graduate Student Paper Award (Sociology Department), 2003

## RESEARCH

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### REFEREED PUBLICATIONS

- Marquis, Christopher, Lynn Yin and Dongning Yang. “State-Mediated Globalization Processes and the Adoption of Sustainability Reporting in China.” *Management and Organization Review*. Forthcoming.
- Marquis, Christopher and András Tilcsik. 2016. “Institutional Equivalence: How Industry and Community Peers Influence Corporate Philanthropy.” *Organization Science*, 27:5, 1325–1341.
- Zhang, Jianjun, Christopher Marquis and Kunyuan Qiao. 2016. “Do Political Connections Buffer Firms from or Bind Firms to the Government? A Study of Corporate Charitable Donations of Chinese Firms” *Organization Science*, 27:5, 1307–1324.
- Luo, Xiaowei Rose, Jianjun Zhang and Christopher Marquis. 2016. “Mobilization in the Internet Age: Internet Activism and Corporate Response.” *Academy of Management Journal*. 59, No. 6, 2045–2068.

- Marquis, Christopher, Michael Toffel and Yanhua Zhou. 2016. "Scrutiny, Norms, and Selective Disclosure: A Global Study of Greenwashing." *Organization Science*, 27: No. 2, 483–504.
- Marquis, Christopher, Susan Jackson and Yuan Li. 2015. "Building Sustainable Organizations in China." *Management and Organization Review*, 11: 427-440.
- Marquis, Christopher and Mia Raynard. 2015. "Institutional Strategies in Emerging Markets." *Academy of Management Annals*, 9: No. 1, 291–335.
- Sullivan, Bilian Ni, Yi Tang and Christopher Marquis. 2014. "Persistently Learning: How Small-world Network Imprints and Organizational Adaptation Affect Firm Performance." *Strategic Organization*, 12: 180-199.
- Marquis, Christopher and Cuili Qian. 2014. "Corporate Social Responsibility Reporting in China: Symbol or Substance?" *Organization Science*, 25: 127-148.
- Marquis, Christopher and András Tilcsik. 2013. "Imprinting: Toward a Multi-Level Theory." *Academy of Management Annals*, 7: 195-245.
- Marquis, Christopher and Matthew Lee. 2013. "Who is Governing Whom? Executives, Governance and the Structure of Generosity in Large US Firms." *Strategic Management Journal*, 34: 483-497.
- Tilcsik, András and Christopher Marquis. 2013. "Punctuated Generosity: How Mega-events and Natural Disasters Affect Corporate Philanthropy in U.S. Communities," *Administrative Science Quarterly*, 58: 111-148.
- Marquis, Christopher, Gerald F. Davis and Mary Ann Glynn. 2013. "Golfing Alone? Corporations, Elites and Nonprofit Growth in 100 American Communities." *Organization Science*, 24: 39-57.
- Marquis, Christopher, Douglas Guthrie and John Almandoz. 2012. "State Activism and the Hidden Incentives Behind Bank Acquisitions" *Social Science Research*, 41: 130-145.
- Marquis, Christopher, Jianjun Zhang and Yanhua Zhou. 2011. "Regulatory Uncertainty and Corporate Responses to Environmental Protection in China" *California Management Review*, 54: 39-63.
- Marquis, Christopher and Zhi Huang. 2010. "Acquisitions as Exaptation: The Legacy of Founding Institutions in the U.S. Commercial Banking Industry." *Academy of Management Journal*, 53: 1441-1473.
- Marquis, Christopher and Zhi Huang. 2009. "The Contingent Nature of Public Policy and the Growth of U.S. Commercial Banking." *Academy of Management Journal*, 52: 1222-1246. (Runner-Up, Academy of Management, Best Published Paper in Organization and Management Theory in 2009)
- Marquis, Christopher and Julie Battilana. 2009. "Acting Globally but Thinking Locally? The Enduring Influence of Local Communities on Organizations" *Research in Organizational Behavior*, 29: 283-302.
- Marquis, Christopher and Michael Lounsbury. 2007. "Vive La Résistance: Competing Logics and the Consolidation of U.S. Community Banking." *Academy of Management Journal*, 50: 799-820.
- Marquis, Christopher, Mary Ann Glynn and Gerald F. Davis. 2007. "Community Isomorphism and Corporate Social Action." *Academy of Management Review*, 32: 925-945.
- Mizruchi, Mark S. and Christopher Marquis. 2006. "Egocentric, Sociocentric, or Dyadic? Identifying the Appropriate Level of Analysis in the Study of Organizational Networks." *Social Networks*, 28: 187-208.
- Mizruchi, Mark S., Linda B. Stearns and Christopher Marquis. 2006. "The Conditional Nature of Embeddedness: Borrowing by Large U.S. Firms, 1973-1994." *American Sociological Review*, 71: 310-333.
- Davis, Gerald F. and Christopher Marquis. 2005. "Prospects for Organizational Theory in the Early 21st Century: Institutional Fields and Mechanisms." *Organization Science*, 16: 332-343.
- Marquis, Christopher. 2003. "The Pressure of the Past: Network Imprinting in Intercorporate Communities." *Administrative Science Quarterly*, 48: 655-689. (2003 James D. Thompson Award from the American Sociological Association)

## OTHER PUBLICATIONS

- Almandoz, John, Matthew Lee and Christopher Marquis. "Different Shades of Green: The Impact of Complex and Uncertain Environments in the Strategies of Innovative Hybrid Organizations." In Henrich Greve and Marc-David Seidel (Ed). *Research in the Sociology of Organizations* Bingley, U.K.: Emerald Group Publishing Limited. Forthcoming.
- Almandoz, John, and Christopher Marquis. "Drivers of Community Strength: An Institutional Logics Perspective on Geographical and Affiliation Based Communities." In Royston Greenwood et al., (Ed). *The Sage Handbook of Organizational Institutionalism 2<sup>nd</sup> Edition*. London, UK: Sage Publications, Inc. Forthcoming.

- Marquis, Christopher, Ying Zhang and Shiyu Yang. 2015 “Shared Value with Chinese Characteristics: An Interview with Shen Guojun, Founder, President and CEO of China Yintai.” *Management Insights (MI)*, (October): 120-129.
- Marquis, Christopher, Yanhua Zhou, and Zoe Yang. 2015 “The Emergence of Subversive Charities in China.” *Stanford Social Innovation Review*, 13(4): 42-47.
- Tilcsik, András; Marquis, Christopher. 2014 “Imprinting.” In *Oxford Bibliographies in Management*. Ed. Ricky Griffin. New York: Oxford University Press.
- Marquis, Christopher, and Juan Almandoz. 2014 “Can an “Ethical” Bank Support Guns and Fracking?” *Harvard Business Review*, 92 (April): 123-127.
- Marquis, Christopher and Andrew Park. 2014 “Inside the Buy-one Give-one Model.” *Stanford Social Innovation Review*. 12 (1): 28–33.
- Marquis, Christopher, Hongyu Zhang, and Lixuan Zhou. 2013 “China’s Quest to Adopt Electric Vehicles.” *Stanford Social Innovation Review*, 11(2): 52-57.
- Marquis, Christopher and Joshua D. Margolis. 2012 “How Much is Sweat Equity Worth” *Harvard Business Review*, 90 (December): 121-125.
- Marquis, Christopher and Chen Zhang. 2012 “‘Risk Control is in My Blood’-An Interview with Dr. Ling Wen, President and CEO of China Shenhua Energy Company Limited.” *Chinese Management Insights (CMI)*, (November): 80-87.
- Marquis, Christopher, Michael Lounsbury and Royston Greenwood (Eds.). 2011 *Communities and Organizations*. Research in the Sociology of Organizations Volume 33 Bingley, U.K.: Emerald Group Publishing Limited.
- Marquis, Christopher, Michael Lounsbury and Royston Greenwood. 2011 “Communities and Organizations.” *Communities and Organizations*. Research in the Sociology of Organizations edited by Chris Marquis, Michael Lounsbury and Royston Greenwood.
- Marquis, Christopher, Zhi Huang and John Almandoz. 2011 “Explaining the Loss of Community: Historical Transition in the Twentieth Century US Banking Industry.” *Communities and Organizations*. Research in the Sociology of Organizations edited by Chris Marquis, Michael Lounsbury and Royston Greenwood.
- Marquis, Christopher and Gerald F. Davis. 2009. “Organizational Mechanisms Underlying Positive Community Identity and Reputation.” *Exploring Positive Identities and Organizations: Building a Theoretical and Research Foundation*, edited by Laura Morgan Roberts and Jane Dutton.
- Anderson, Peter J. J., Ruth Blatt, Marlys K. Christianson, Adam M. Grant, Christopher Marquis, Eric J. Neuman, Scott Sonenshein, and Kathleen M. Sutcliffe. 2006. “Understanding Mechanisms in Organizational Research.” *Journal of Management Inquiry*, 15: 102-113.
- Glynn, Mary Ann and Christopher Marquis. 2006. “Real Good Names: Institutional Logics as Motives for Organizational Name Changes.” *Identity and the Modern Organization*, edited by Caroline A. Bartel, Steven Blader and Amy Wrzesniewski. Mahwah, NJ: Lawrence Erlbaum Associates Inc.
- Glynn, Mary Ann and Christopher Marquis. 2006. “How Institutional Norms and Individual Preferences Legitimate Organizational Names.” Pp. 223-239 in *Artifacts and Organizations*, edited by Anat Rafaeli and Michael Pratt. Mahwah, NJ: Lawrence Erlbaum Associates Inc.
- Marquis, Christopher. 2006. “Historical Environments, Coordination and Consolidation in the U.S. Banking Industry, 1896-2001.” *Best Paper Proceedings of the Academy of Management* (2006 William H. Newman and Louis R. Pondy Awards for best paper based on a dissertation).
- Marquis, Christopher and Mark Mizruchi. 2006. “Banks.” Pp. 20-22 in *International Encyclopedia of Economic Sociology*, edited by Jens Beckert and Milan Zafirovski. London: Routledge.
- Mizruchi, Mark and Christopher Marquis. 2006. “Interlocking Directorates.” Pp. 375-377 in *International Encyclopedia of Economic Sociology*, edited by Jens Beckert and Milan Zafirovski. London: Routledge.
- Khurana, Rakesh and Christopher Marquis. 2006. “Diagnosing and Dissolving our “Translation Gap.”” *Journal of Management Inquiry*, 15: 406-409.
- Davis, Gerald F. and Christopher Marquis. 2005. “The Globalization of Stock Markets and Convergence in Corporate Governance.” Pp. 352-390 in *Economic Sociology of Capitalism*, edited by Victor Nee and Richard Swedberg. Princeton, NJ: Princeton University Press.
- Glynn, Mary Ann and Christopher Marquis. 2004. “When Good Names Go Bad: Organizational Illegitimacy and the Dot-com Collapse.” *Research in the Sociology of Organizations*, 22: 147-170.

## WORK IN PROGRESS

- Liang, Hao, Christopher Marquis, Luc Renneboog, and Sunny Li Sun. “Speaking of Corporate Social Responsibility.”
- Liang, Hao, Christopher Marquis, and Sunny Li Sun. “Finance and Social Responsibility in the Informal Economy: Institutional Voids, Globalization and Microfinance Institutions.” Revise and Resubmit. *Journal of International Business Studies*.
- Marquis, Christopher and Yanhua Zhou “Governmental Attention and Environmental Enforcement in China.” Revise and Resubmit. *Academy of Management Journal*
- Marquis, Christopher, Yuhuan Liu and Runtian Jing. “Political Position and Firms’ Defiance of Governmental Mandates in China.”
- Lee, Matthew and Christopher Marquis. “Large Corporations, Community Social Capital and Social Welfare: Evidence from Organized Community Philanthropy.”
- Yi, Jiangling, Christopher Marquis and Chenjian Zhang. “Historical Imprints and Contemporary Foreign Investment in Chinese Cities.” Under Review.

## BOOK REVIEWS

- Marquis, Christopher. 2014. Review of Handbook of the Sociology of Finance. By Karin Knorr Cetina, and Alex Preda (eds.). *Administrative Science Quarterly*, 59:
- Marquis, Christopher. 2009. Review of Market Rebels: How Activists Make or Break Radical Innovations by Hayagreeva Rao. *Administrative Science Quarterly*, 54: 543-544.
- Marquis, Christopher. 2008. Review of Decline of the Corporate Community: Network Dynamics of the Dutch Business Elite by Eelke M. Heemskerk. *Administrative Science Quarterly*, 53: 768-769.
- Marquis, Christopher. 2007. Review of An Engine, Not a Camera: How Financial Models Shape Markets by Donald MacKenzie. *Contemporary Sociology*, 36: 251-252.
- Marquis, Christopher. 2005. Review of Nonprofit Trusteeship in Different Contexts by Rikki Abzug and Jeffrey S. Simonoff. *Administrative Science Quarterly*, 50: 314-317.

## TEACHING

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### CORNELL UNIVERSITY

- Global Sustainable Growth (Cornell-Tsinghua MBA Program) – Spring Term: 2017
- Creating Shared Value: Entrepreneurial and Corporate Approaches (EMBA Elective) – Fall Term: 2016
- Social Entrepreneurship (Elective MBA Course) – Fall Term: 2016
- Social Entrepreneurship (Johnson NY Tech) – Required MBA Course, Spring Term: 2015, 2016; Elective MBA Course, Fall Term: 2016

### HARVARD UNIVERSITY

- Entrepreneurship and Social Innovation (MPA Elective, Harvard Kennedy School) – Spring Term: 2017
- Philanthropy and Social Innovation (MPA Elective, Harvard Kennedy School) – Spring Term: 2017
- Creating Shared Value: Entrepreneurial and Corporate Approaches (MBA Elective, Harvard Business School) – Fall Term: 2009, 2010, 2011, 2012
- Organizational Analysis (Doctoral Elective, Sociology Department and Harvard Business School) – Spring Term: 2007, 2010, 2011, 2012, 2013
- China’s Current Challenges (MBA Elective Immersion, Harvard Business School) – Winter Term: 2011, 2013, 2014
- Leadership and Organizational Behavior (Required MBA Course, Harvard Business School) – Fall Term: 2005, 2006, 2007
- Corporate Social Responsibility: Strategies to Create Business and Social Value (Executive Education) – November: 2008, 2009, 2010, 2011, 2012
- Business Leaders as Social Value Creators (Executive Education) – March: 2009, 2010, 2011

## UNIVERSITY OF MICHIGAN

- Behavioral Theory in Management (Required BBA Course, Ross School of Business) - Fall 2003

## COURSE MATERIALS

### Corporate Sustainability & Social Issues in China

- Marquis, Christopher and Qi Li. "Beacon Group of Hong Kong: Finding Light in the Shadow Education Industry." (Harvard Business School Case 415-082), June, 2015.
- Marquis, Christopher, Qi Li and Ying Zhang. "Fei Ni Mo Shu (*You are the One*) and the Chinese Employment Market" (Harvard Business School Case 415-081), June, 2015.
- Chris Marquis, Ying Zhang and Shiyu Yang. "China Yintai: Developing Shared Value in China." (Harvard Business School Case 415078), May, 2015.
- Chris Marquis, and Qi Li. "Continental Hope Group." (Harvard Business School Case 415050), January 2015.
- Chris Marquis, Zoe Yang, and Juelin Yin. "Fei Cheng Wu Rao (*If You Are the One*): The Media as a Reflection of Society (Harvard Business School Case 414056), February 2014.
- Chris Marquis, Zucheng Zhou, Mo Chen, and Heng Fan. "Building a Community at Semiconductor Manufacturing International Corporation." (Harvard Business School Case 413083), November 2012.
- Chris Marquis, Lynn Yin, and Dongning Yang. "COSCO: Implementing Sustainability." (Harvard Business School Case 412081), November 2011.
- Chris Marquis, Nancy Hua Dai, and Lynn Yin. "Chairman Zhang and Broad Group: Growth Dilemmas." (Harvard Business School Case 412095)
- Chris Marquis, Laura Velez Villa, and Lynn Yin. "China Greentech Initiative (CGTI)." (Harvard Business School Case 412105), February, 2012.
- Chris Marquis and Nancy Dai. "China Environment Fund: Doing Well by Doing Good" (Harvard Business School Case 410142; Teaching Note 411098), May 2011.
- Chris Marquis, Nancy Dai, Dongning Yang, and Hong Wu. "State Grid: Corporate Social Responsibility" (Harvard Business School Case 410141; Teaching Note 412006), July 2011.
- Chris Marquis, G.A. Donovan, and YiKwan Chu. "Swire Beverages: Implementing CSR in China." (Harvard Business School Case 410021; Teaching Note 410129), April 2010.

### Global Corporate Social Responsibility

- Marquis, Christopher, and Matthew Lee. "B Lab: Can it Scale Business as a Force for Good?" (Harvard Business School Case 414-080; Teaching Note 411-073), June 2015.
- Chris Marquis and Zoe Yang. "Green Mountain Coffee Roasters, Inc.: Taking on Seasonal Starvation in Latin America." (Harvard Business School Case 414065), April 2014.
- Chris Marquis, David Plumb, Tom Blathwayt, and Zoe Yang. "Anglo American: Implementing a 'Social Way' for Global Mining." (Harvard Business School Case 414063), January 2014.
- Chris Marquis. "Creating Shared Value: Entrepreneurial and Corporate Models for a Changing Economy." (Harvard Business School Course Overview Note 413113), March 2013.
- Chris Marquis and Juan Almandoz. "First Green Bank: Bringing Bloom to Desert Landscapes." (Harvard Business School Case 413073), March 2013.
- Chris Marquis and Laura Velez Villa. "Warby Parker: Vision of a 'Good' Fashion Brand." (Harvard Business School Case 413051; Teaching Note 416-001), July 2012. (Revised September 2012.)
- Chris Marquis and Laura Velez Villa. "Managing Stakeholders with Corporate Social Responsibility." (Harvard Business School Course Overview Note 412121), May 2012.
- Chris Marquis and Rwitwika Bhattacharya. "Global Business School Network." (Harvard Business School Case 412044)
- Chris Marquis and John Almandoz. "New Resource Bank: In Pursuit of Green." (Harvard Business School Case N1-412060)

- Chris Marquis, Marley C. Kornreich and Bobbi Thomason. “Social Innovation at salesforce.com.” (Harvard Business School Case 412049 Teaching Note 416-002)
- Chris Marquis, Donna Khalife, and Bobbi Thomason. “sweetriot 2.0.” (Harvard Business School Case 412007)
- Chris Marquis and Bobbi Thomason. “Commerce and Society: The Strategic Value of Corporate Social Responsibility.” (Harvard Business School Course Overview 411075)
- Chris Marquis, Andrew David Klaber, and Bobbi Thomason. “B Lab: Building a New Sector of the Economy.” (Harvard Business School Case 411047; Teaching Note 411073)
- Lakshmi Ramarajan, Chris Marquis, and Bobbi Thomason. “Public Architecture.” (Harvard Business School Case 411030)
- Chris Marquis, Daniel Beunza, Fabrizio Ferraro, and Bobbi Thomason. “Driving Sustainability at Bloomberg L.P.” (Harvard Business School Case 411025; Teaching Note 411068)
- Chris Marquis, Bobbi Thomason, and Jennifer Tydlaska. “Corporate Social Responsibility and Employee Engagement” (Harvard Business School Course Overview Note 410138)
- Chris Marquis, Pooja Mehta Shah, Amanda Elizabeth Tolleson, and Bobbi Thomason. “The Dannon Company: Marketing and Corporate Social Responsibility (A) and (B).” (Harvard Business School Cases 410121 and 412047; Teaching Note 412048)
- Chris Marquis. “Burt’s Bees: Balancing Growth and Sustainability.” (Harvard Business School Multimedia Case 410704; Teaching Note 410100)
- Chris Marquis. “Western Union: Our World, Our Family®.” (Harvard Business School Case 410050; Teaching Note 410080)
- Chris Marquis, Marya Besharov, and Bobbi Thomason. “Whole Foods: Balancing Social Mission and Growth.” (Harvard Business School Case 410023; Teaching Note 411057)
- Chris Marquis, Kwang Y. Ryu, Philip H. Mirvis, and Bobbi Thomason. “SK Telecom: Pursuing Happiness through Corporate Social Responsibility.” (Harvard Business School Case 410042)
- Chris Marquis, V. Kasturi Rangan, and Cathy Ross. “Goldman Sachs: The 10,000 Women Initiative.” (Harvard Business School Case 509042; Teaching Note 410119)
- Chris Marquis and Rosabeth Moss Kanter. “IBM: The Corporate Service Corps.” (Harvard Business School Case 409106; Teaching Note 410131)
- Chris Marquis and V. Katsuri Rangan and Alison Comings. “PNC Financial: Grow Up Great.” (Harvard Business School Case 409108; Teaching Note 410120)
- Chris Marquis and V. Kasturi Rangan and Alison Comings. “Shaklee Corporation: Corporate Social Responsibility.” (Harvard Business School Case 509031; Teaching Note 509061)
- Joshua D. Margolis, Chris Marquis, and Laura Winig. “VeeV on the Rocks?” (Harvard Business School Case 410006; Teaching Note 411076)
- Robert S. Kaplan, Chris Marquis and Brent Kazan. “The Miami Project to Cure Paralysis.” (Harvard Business School Case 408003; Teaching Note 410005)

### **Leadership and Organizational Behavior**

- Chris Marquis, Vinay Ganti, Kevin Smith, and Doug Guthrie. “Mekong Capital: Building a Culture of Leadership in Vietnam.” (Harvard Business School Case 411023)
- Chris Marquis, Douglas Guthrie and Yannis Katsarakis. “Gianna Angelopoulos-Daskalaki and the 2004 Athens Olympic Games (A) and (B)” (Harvard Business School Cases 407050 and 407051; Teaching Note 408081)
- Nitin Nohria, Chris Marquis and Alison Comings. “Congruence Model On-line Tutorial.” (Harvard Business School Courseware 407703; Teaching Note 407131)
- Chris Marquis, Doug Guthrie, Richard Arum, and Abby Larson. “Joel Klein and Leadership in the NYC Public Schools.” (Harvard Business School Case 407065)
- Boris Groysberg, Chris Marquis and Ayesha Kanji. “Tim Keller at Katzenbach Partners LLC (A), (B) and (C).” (Harvard Business School Cases 407037, 407038, and 407039; Teaching Note 407085)

## **DISSERTATION COMMITTEES**

### **Defended**

- John Almondoz (chair), Harvard (PhD in Organizational Behavior), Assistant Professor, IESE
- Vince Feng (member), Harvard (PhD in Sociology)
- Ji-wook Jong (member), Harvard (PhD in Sociology), Assistant Professor, University of Illinois
- Barbara Zepp Larson (member), Harvard (DBA in Management), Lecturer (UK equivalent of Assistant Professor), University of Sussex
- Matthew Lee (co-chair), Harvard (DBA in Management), Assistant Professor, INSEAD
- Cuili Qian (member), HKUST (PhD in Management), Associate Professor, City University of Hong Kong
- Andras Tilcsik (member), Harvard (PhD in Organizational Behavior), Assistant Professor, University of Toronto
- Zhenzhen Xie (member), HKUST (PhD in Management), Assistant Professor, Tsinghua University
- Lori Yue (member), Columbia (PhD in Management), Associate Professor, University of Southern California
- Danqing Wang (member), INSEAD (PhD in Management), Assistant Professor, University of Hong Kong
- Hongyu Zhang (member), Peking University (PhD in Management), Assistant Professor, Central University of Finance and Economics
- Shuo Zhang (member), Cornell (PhD in Sociology), Assistant Professor, Central University of Finance and Economics

### **In Process**

- Bjoern Mitzinneck (member), Cornell (PhD in Management)

## **PRESENTATIONS & CONFERENCES**

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### **INVITED ACADEMIC PRESENTATIONS**

- 2016: Seoul National University (Strategy and International Management), Stanford University (Organizational Behavior), Syracuse University (Entrepreneurship and Emerging Enterprises), The George Washington University (Strategic Management and Public Policy), University of Minnesota (Strategic Management and Entrepreneurship), University of Pittsburgh (Organizations and Entrepreneurship)
- 2015: ESSEC Asia Pacific (Management); Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Nottingham University Business School China, Peking University (Organization and Strategic Management), Tilburg University (Management); Tsinghua University (Leadership and Organization Management), University of Michigan (Erb Institute and Strategy)
- 2014: Beijing Jiaotong University; Duquesne University (Sustainability Program); National University of Singapore (Strategy and Policy); Rotterdam School of Management (Organisation and Personnel Management); Shanghai Jiaotong University (Management); Singapore Management University (Strategic Management); University of Michigan (Management and Organizations); Xi'an-Jiaotong Liverpool University Suzhou (International Business); University of Hong Kong (Strategy)
- 2013: Cornell University Johnson School (Management and Organizations), Hong Kong University of Science and Technology (Management and Organizations), INSEAD (Strategy), Stanford University (Center on Philanthropy and Civil Society), Shanghai Jiaotong University (Management), Tuck School of Business at Dartmouth (Strategy and Management) University of California, Irvine (Long U.S.-China Institute for Business and Law), University of California, Irvine (Organization and Management), University of Pennsylvania Wharton School (Management)
- 2012: Fudan University (Business Administration), Harvard University (China Project, SEAS), Indiana University (Center on Philanthropy), MIT - Harvard Economic Sociology, Nottingham University Business School China, Shanghai Jiaotong University (Management), University of Michigan (Erb Institute), University of Michigan (ICOS), University of Southern California (Strategic Management), Zhejiang University (Global Entrepreneurship Research Center)



- 2011: Chinese University of Hong Kong (Management), Harvard University Gender Workshop, Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Indiana University (Governance and Management), McGill University (Organizational Behaviour), Peking University (Organization Management), University of Illinois (Organizational Behavior), University of Toronto (Strategy)
- 2010: Center for the Sociology of Organizations – Paris, France; HEC Paris (Strategy), Harvard Business School International Seminar, Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Peking University (Organization Management), The University of Macau (Management and Marketing), University of Science & Technology of China (School of Management and Economics)
- 2009: Boston College (Winston Center for Leadership and Ethics), Cornell University (Johnson School and ILR School), INSEAD (Entrepreneurial Management - Singapore), Hong Kong University of Science and Technology (Management and Organizations)
- 2008: Harvard Business School (Business History); University of Maryland (Management and Organization)
- 2007: University of Alberta (Strategic Management and Organization), Dartmouth College, University of Michigan (Economic Sociology), University of Texas at Austin (Management), University of Virginia (Leadership & Organizational Behavior)
- 2006: MIT - Harvard Economic Sociology, London Business School (Organisational Behaviour), Oxford University (Strategy and Organisation), INSEAD (Entrepreneurial Management)
- 2005: Columbia University (Management), Harvard University (Organizational Behavior), Harvard University (Strategy), Massachusetts Institute of Technology (Behavioral Policy Science), Northwestern University (Management and Organization), Stanford University (Organizational Behavior), University of California, Berkeley (Organizational Behavior), University of Texas at Austin (Management)
- 2004: Cornell University (Sociology), Emory University (Management), University of Chicago (Organizations and Markets)
- 2003: Bradley University (History), University of Michigan (Sociology)

## **PUBLIC LECTURES/INDUSTRY PRESENTATIONS**

- 2016: Peking University Guanghua-Yintai Center for Philanthropy and Social Impact
- 2015: *Harvard Business Review* China Women in Leadership Forum (Keynote); Chinese Financial Executive Institute Quarterly Public Lecture
- 2014: Beijing Foreign Studies University, *Financial Times* China Global Forum, Learning Mode China – Century Success Event (Keynote), Zhejiang's Young Marshall Club (Keynote)
- 2013: Shanghai Forum, *Harvard Business Review* China Launch Event (Beijing and Shanghai)
- 2012: American Chamber of Commerce – China (Beijing), American Chamber of Commerce - Shanghai, Harvard University Shanghai Center, North China Power University

## **ACADEMY OF MANAGEMENT MEETINGS**

### **Symposia and Professional Development Workshops Organized**

- 2015 – Professional Development Workshop (with Simone Ferriani, Matthias Kipping, Claus Rerup Georg Schreyögg, Joerg Sydow and Behlul Usdiken) “History Matters! Path Dependence, Imprinting, Process”
- 2015 – Symposium (with Ying Zhang) “Advancing Theory on the Globalization of Chinese Firms” (Nominated for Emerald Best International Symposium Award).
- 2014 – OMT Junior Faculty Consortium (with Brayden King).
- 2014 – Symposium (with András Tilcsik) “Organizations and Institutional Complexity.”
- 2014 – Symposium (with András Tilcsik) “Imprinting in Organizations: New Perspectives for Unpacking the Past.”
- 2013 – OMT Junior Faculty Consortium (with Martine Haas).
- 2013 – All-Academy Symposium (with Danqing Wang) “Corporate Social Responsibility and Stakeholder Management in Emerging Markets.”
- 2011 – Showcase Symposium (with Pino Audia) “The Local and The Global in Community Dynamics.”

- 2011 – Symposium (with Matt Lee) “Inside the Corporate Social Responsibility (CSR) black box: Antecedents and limits of CSR.”
- 2011 – Professional Development Workshop for OMT Division (with Andy Hoffman) “Theory and the Practice of Management in China.”
- 2010 – All-Academy Symposium (with Cuili Qian and Na Ni) “When is it Strategic to be Compassionate? The Evolution of Corporate Social Responsibility Practices in China.”
- 2010 – Professional Development Workshop for OMT Division (with Andy Hoffman) “Blogging as a Tool for Bridging Research, Teaching and Practice.”
- 2008 – Showcase Symposium (with Royston Greenwood) “The Field is Dead, Long Live the Community! Why and How Understanding Community Influences is Essential for Institutional Theory.”
- 2006 – All Academy Symposium “How Organizations Shape the Rules: Development of US Financial Regulations in Historical Perspective.”
- 2004 – Symposium (with Gerald F. Davis) “Law and Organization: How States and Organizations Shape Each Other.” Selected as a Showcase Symposium.
- 2003 – Showcase Symposium (with Gerald F. Davis) “Corporations and Community Connections: When are Business Elites Good for Civic Health?”

#### **Papers Presented and Panel Presentations**

- 2016 – “Institutional Change in Authoritarian Setting: A Study of Environmental Violations in China”(with Yanhua Zhou).
- 2016 – “Political Position and Firms’ Defiance Response to Governmental Mandates” (with Runtian Jing and Yuhuan Liu).
- 2016 – “Creating a More Reliable and Cumulative Knowledge Ecosystem”
- 2015 – “Is the China Model Sustainable?”
- 2015 – “Research on Emerging Markets and the Future of Organization Theory”
- 2015 – “Gender Diversity, Governance and Corporate Social Responsibility”
- 2015 – “Theorizing History: The Imprinting Argument”
- 2015 – “Emerging Markets as Fertile Research Grounds for the Researcher and for Theory”
- 2015 – “Social Capital and Catching Up Capabilities: Huawei’s Experience” (with Ying Zhang).
- 2014 – “Governmental Attention and Environmental Enforcement in China” (with Yanhua Zhou).
- 2014 – “Speaking of Corporate Social Responsibility” (with Hao Liang, Luc Renneboog, and Sunny Li Sun).
- 2013 – “Putting New Wine in Old Bottles: State-Mediated Globalization Processes and the Adoption of Sustainability Reporting in China” (with Lynn Yin; Dongning Yang).
- 2013 – “Institutional Contingencies, Organizational Status, and New Name Adoption” (with Zhi Huang).
- 2012 – “Competition-Commitment-Compliance: Motivation of Philanthropic Donation in Chinese Firms” (with Jianjun Zhang and Xiaowei Luo).
- 2012 – “Institutional Complexity and Community Performance: Evidence from Organized Philanthropy in the U.S., 1939-1997” (with Matt Lee).
- 2012 – “The Impact of Women Top Managers and Directors on Corporate Environmental Performance” (with Amanda Kimball and Donald Palmer).
- 2011 – “Punctuated Generosity: Events, Communities and Corporate Philanthropy in the US, 1980-2006” (with Andras Tilcsik).
- 2011 – “Is CSR Reporting Symbolic or Substantive? Evidence From China” (with Cuili Qian).
- 2011 – “Does Involvement in CSR Affect Employee Retention?” (with Daniel Elfenbein).
- 2011 – “Judicial and Legislative Action in the Legitimation of Corporate Social Responsibility in the U.S.” (with Matt Lee).
- 2010 – “How Institutional Transitions are Shaped: An Examination of Changes in Chinese Firms’ Corporate Social Responsibility Following the Sichuan Earthquake” (with Cuili Qian and Na Ni).
- 2010 – “Who is Governing Whom? Executives, Governance and the Structure of Generosity in Large US Firms.” (with Matt Lee).

- 2010 – “Stakeholder Legitimacy and Corporate Social Responsibility Reporting in China” (with Cuili Qian).
- 2009 – “Corporate Sustainability Reporting: Strategic and Institutional Perspectives” (with Michael Toffel).
- 2008 – “Community Fields and the Growth and Decline of Nonprofits in 100 American Cities, 1987-2002.” (with Gerald F. Davis and Mary Ann Glynn).
- 2008 – “Competing Logics and Entrepreneurial Success in the US Banking Industry.”
- 2008 – Panelist on All Academy Symposium “The Questions We Don’t Ask: Limitations and Lessons from Institutional Theory.”
- 2008 – Panelist on OMT Theme Session “The Questions OMT Asks: What Has Been Neglected?”
- 2007 – “State Activism and the Hidden Incentives behind Bank Acquisitions” (with Douglas Guthrie).
- 2006 – “Historical Environments and the Creation of US Banking Regulations.”
- 2006 – “Historical Environments, Coordination and Consolidation in the US Banking Industry, 1896-2001.” Included in *Best Paper Proceedings of the 2006 Academy of Management Meeting*. Winner of Louis R. Pondy Award for best paper based on a dissertation and William H. Newman Award for best paper based on a dissertation.
- 2006 – “How Local Businesses Influence Non-profit Growth in US Communities.”
- 2004 – “State Regulation and Industry Consolidation in the US Banking Industry, 1896-2001.”
- 2003 – “Conspiring Alone: The Changing Social Capital of Community Elites.” (with Gerald F. Davis)
- 2003 – “When Good Names Go Bad: Organizational Illegitimacy, and the Dot-com Collapse.”
- 2002 – “The Effect of Social Structure on Community Social Capital.”
- 2002 – “Egocentric, Sociocentric, or Dyadic? Identifying the Appropriate Level of Analysis in the Study of Organizational Networks.” (with Mark Mizruchi).
- 2001 – “Are U.S. Stock Markets a Pathway to Global Governance?” (with Gerald F. Davis).

#### **OTHER CONFERENCES**

- 2016 – International Association for Chinese Management Research Conference (IACMR). Hangzhou, China, June 15-19, 2016.
  - Organized PDW “Getting Published in Top Tier Journals: Guidance and Insights from Officers of the AOM OMT Division.” (with Bilian Ni Sullivan).
  - Presented “Factors that Affect Governmental Attention on Firms: A Study of Environmental Violations in China”(with Yanhua Zhou).
  - Presented “Political Position and Firms’ Defiance Response to Governmental Mandates”(with Runtian Jing and Yuhuan Liu).
- 2015 – Rice University Strategy Symposium on Emerging Markets, April 23-24, 2015. “Institutional Strategies in Emerging Markets” (with Mia Raynard).
- 2014 – International Association for Chinese Management Research Conference (IACMR). Beijing, China, June 18-22, 2014.
  - Organized PDW “Getting Published in Top Tier Journals: Guidance and Insights from Officers of the AOM OMT Division.”
  - Organized symposium (with Han Yi and Enjing Zheng) “Organizational Imprinting and Institutional Changes in China.”
  - Presented “Early Internationalization and Contemporary Foreign Expansion in China” (with Jiangling Yi).
- 2014 – Sixth Annual ARCS Research Conference. “Governmental Attention and Environmental Enforcement in China” (with Yanhua Zhou).
- 2013 – Suzhou-Silicon Valley-Beijing International Innovation Conference. Keynote Presentation “Social Entrepreneurship and Creating System-Level Change: Research Opportunities” Suzhou, China, July 9.
- 2013 – Nankai University Entrepreneurship Conference. Keynote Presentation “Social Entrepreneurship and Creating System-Level Change: Research Opportunities” Tianjin, China, June 21.
- 2012 – American Sociological Association Meeting. “Organizational Responses to Institutional Pluralism: Field Strength, Institutional Uniqueness and Corporate Philanthropy” (with András Tilcsik). Denver, CO.

- 2012 – International Association for Chinese Management Research Conference (IACMR). Hong Kong, China, June 20-24.
  - Keynote Presentation “The State and Corporate Social Responsibility in China.”
  - Presented “Putting new wine in old bottles: State-mediated globalization processes and the adoption of sustainability reporting by Chinese companies” (with Lynn Yin and Dongning Yang).
- 2010 – Corporate Social Responsibility in a Globalizing World. “The Globalization of Corporate Environmental Disclosure: Accountability or Greenwashing?” University of Michigan, Ann Arbor, Michigan, September 9-11.
- 2010 – International Association for Chinese Management Research Conference (IACMR). Shanghai, China, June 16-20. Organized Symposium (with Cuili Qian and Na Ni) “Corporate Social Responsibility Practices in China.” Presented “Corporate Social Responsibility Reporting in China” (with Cuili Qian).
- 2010 – Eastern Sociological Society Conference. “Banking on Tradition: Policies to Promote of Relationship-Based Financial Services.” Boston, MA, May 18-21.
- 2008 – American Sociological Association Meeting. “Is History always Destiny? The Contingent Legacy of Founding Environments in the US Banking Industry.” Boston, MA.
- 2008 – MIT Sloan BPS Mini-Conference. Discussant. Cambridge, Massachusetts, May 9-10.
- 2008 – Business History Conference. “The Dynamics of Organizational Imprinting: Capabilities and Acquisitions in US Banking.” Sacramento, CA.
- 2008 – BYU-University of Utah Winter Strategy Conference “Is History always Destiny? The Contingent Legacy of Founding Environments in the US Banking Industry.” Sundance, Utah, February 28-March 1.
- 2007 – American Sociological Association Meeting. “External Environments and US Bank Expansion in the Twentieth Century” (with Zhi Huang). New York, NY.
- 2007 – Business History Conference. “Historical Conflict and New Bank Foundings in US Communities in the 1990s.” Cleveland, OH.
- 2006 – American Sociological Association Meeting. “State Activism and the Hidden Incentives behind Bank Mergers, 1990-2000” (with Douglas Guthrie). Montreal, QC.
- 2006 – MIT Sloan BPS Mini-Conference. Discussant. Cambridge, Massachusetts, May 19-20.
- 2006 – Business History Conference. “Historical Environments and the Debate over U.S. Banking Regulations, 1896-1978.” Toronto, ON.
- 2005 – American Sociological Association Meeting. “Does Network Structure Affect the Size of the Network Effect? The Role of Density in the Network Autocorrelation Model.” (with Mark S. Mizruchi and Eric J. Newman). Philadelphia, PA.
- 2004 – American Sociological Association Meeting. “The Conditional Nature of Embeddedness: A Study of Borrowing by Large U.S. Firms, 1973-1994” (with Mark S. Mizruchi and Linda Brewster Stearns). San Francisco, CA.
- 2003 – Organization Science and National Science Foundation Conference: Frontiers of Organization Science. “Prospects for theory about organizations in the early 21st century: exemplars from new institutional theory.” Laguna Beach, California, November 7-9.

## PROFESSIONAL ACTIVITIES

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### EDITORIAL

- Associate Editor: *Administrative Science Quarterly*, 2014-present.
- Senior Editor: *Organization Science*, 2013-2014.
- Senior Editor: *Management and Organization Review*, 2012-2014.
- Editorial Boards: *Administrative Science Quarterly*, 2007-2014; *Academy of Management Review*, 2008-2013; *Strategic Organization*, 2008-2013; *Organization Science*, 2009-2013.
- Occasional Reviewer (Journal/Conference): *Academy of Management Journal*, *Academy of Management Meetings* (2002-2012), *American Journal of Sociology*, *American Sociological Review*, *Business and Society*, *European Management Review*, *Industrial and Corporate Change*, *International Journal of Sociology*, *Journal of Business Venturing*, *Journal of*

*International Business Studies, Management and Organization Review, Organization Studies, Research in Political Sociology, Research Policy, Review of Economics and Statistics, Social Forces, Social Science Research, Strategic Management Journal.*

- Occasional Reviewer (Press): Harvard Business School Press, Princeton University Press, University of Chicago Press.

## **BOARDS**

- Academic Advisory Council, The Satell Institute: A Think Tank for Corporate Social Responsibility, 2016-present
- Board of Directors, Peking University-China Yintai Philanthropic Management Center, 2015-present
- Advisory Council, PNC Financial Grow Up Great; Pittsburgh, PA, 2009-2015
- Board of Directors, Leader Bank; Arlington, MA, 2010-2013
- American Chamber of Commerce – Shanghai, CSR Award Panel, Shanghai, China, 2011, 2012

## **ACADEMIC SERVICE - NATIONAL**

- Representative at Large, Americas, International Association for Chinese Management Research (IACMR), 2015-2018
- Award Committee, Academy of Management Career Awards, 2014
- Representative at Large, Organization and Management Theory Division, Academy of Management, 2012-2015
- Chair, Award Committee, *Administrative Science Quarterly* Award for Scholarly Contribution, 2012
- Award Committee, Wiley-Blackwell *Management and Organization Review* Young Scholar Award, 2012
- Award Committee, Organization and Management Theory Division, Best Published Paper, Academy of Management, 2011, 2012
- Award Committee, *Administrative Science Quarterly* Award for Scholarly Contribution, 2011
- Practice Committee, Organization and Management Theory Division, Academy of Management, 2009-2012
- Panelist at the 2009 Organization and Management Theory Division Doctoral Consortium at the Academy of Management Conference
- Teaching Committee, Organization and Management Theory Division, Academy of Management, 2007-2009
- Program Committee, Organizations, Occupations and Work Section, American Sociological Association, 2007
- Burt Award Committee, Economic Sociology Section, American Sociological Association, 2006
- Council Member, Organizations, Occupations and Work Section, American Sociological Association, 2003-2004
- Thompson Award Committee, Organizations, Occupations and Work Section, American Sociological Association, 2004

## **ACADEMIC SERVICE – HARVARD**

- Member, Subcommittee on the Degree of Doctor of Philosophy in Organizational Behavior, 2012-2013.
- Member, Organizational Behavior Doctoral Policy and Admissions Committee, 2011-present
- Faculty Advisor, Work, Organization and Markets Seminar (doctoral student seminar), 2009-present
- Faculty Advisor, Harvard College Social Responsibility Trust, 2010-present
- Seminar Coordinator, Organizational Behavior Unit, Harvard Business School, 2006-2009
- Seminar Co-coordinator, MIT-Harvard Economic Sociology Seminar, 2006-2007; 2010-2011